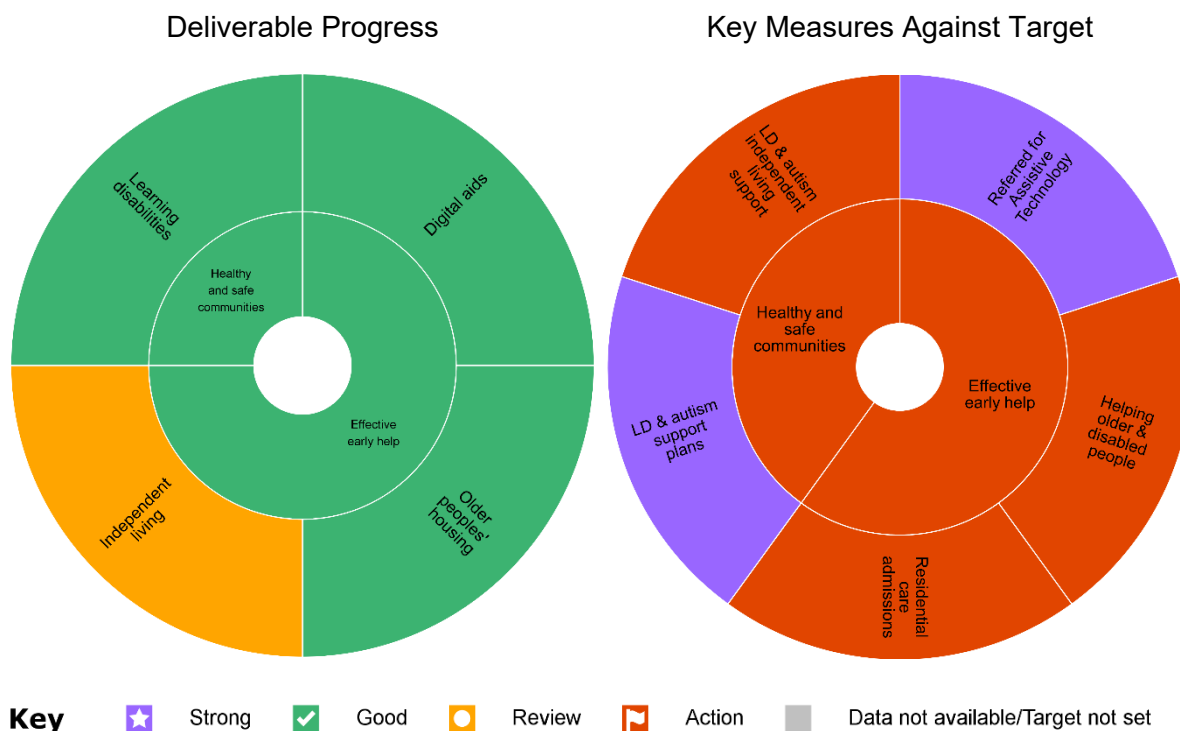


Adult Care - Portfolio Summary



Progress is "good" for the majority of the Council Plan deliverables led by the portfolio.

A key area of success is:

- ✓ **Work alongside people with a learning disability, those recovering from mental ill health and/ or who are autistic to develop council services to ensure they are tailored to meet individuals needs and help people achieve their personal goals (rated Good).**

This quarter we have seen an increase in the number of outcome focused support plans that have been put in place. This is partly due to the start of the Community Connector offering. This quarter we have identified 183 outcome focussed support plans against a target of 30.

Key areas for consideration are:

- ◻ **Finalise the new ways of working with older people and people with a disability to increase their independence so that they remain part of their local communities (requires Review)**

Issue: Whilst there is an improving position, the lack of available home care continues to impact on the Adult Social Care offer for older people.

Response: The Short Term Service (Reablement) has been redesigned to improve capacity and efficiency. This will increase the capacity available to older people requiring reablement intervention. Implementation will commence on 15 January 2024.

Number of people with a learning disability and/or who are autistic supported to move from 24-hour residential care to more independent supported living setting (requires Action)

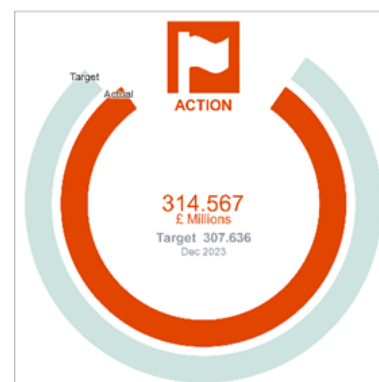
Issue: So far, 21 people have moved in 2023-24 which is below the aspirational target of 27 people. **Action:** We continue to work effectively with people to ensure their readiness to move into available, appropriate accommodation.

There is a forecast overspend of £6.931m on the portfolio after the use of £7.308m of departmental reserves. The main variances are:

Purchased Services, £16.376m overspend - There has been an increase in hospital discharges and because there is an insufficient supply of home care and reablement services, this has driven increased placements into residential homes rather than into care at home. As a result, expenditure on independent sector placements has increased.

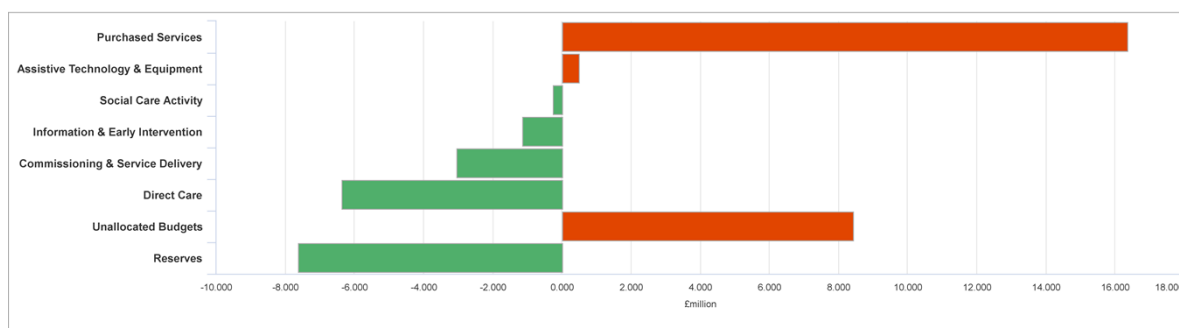
- ✓ Social Care Activity, £0.239m underspend - Underspend on staff pay and travel due to vacancies in social work and specialist teams.
- ✓ Information and Early Intervention, £1.128m underspend - Reduced activity and costs for alarm monitoring, housing related support and for some voluntary sector contracts.
- ✓ Direct Care, £6.369m underspend - £5.4m underspend on Direct Care home care due to a high level of vacancies, £1.7m underspend on Direct Care day centres as a result of reduced usage and re-provision, £0.4m underspend on Learning Disability residential units and £1.1m overspend on care homes for older people stemming from the increased care needs of residents.
- ✓ Commissioning and Service Delivery, £3.033m underspend - £0.8m underspend in respect of vacancies in the Business Services team and £0.7m underspend in respect of vacancies in the Commissioning, Contracts Team and Transformation Teams. Also, £1.5m underspend on Better Care Fund schemes.

Forecast Outturn against Target Budget



- Unallocated budgets, £8.433m overspend - Includes £8m of budget savings targets which are not achievable and £3m which aren't forecast to be achieved until 2024-25. These are offset by £2m of budget allocated to the portfolio for a one-off service pressure which is not anticipated to be required and budget allocated for ongoing services pressures where spend has not yet commenced.
- Assistive Technology and Equipment, £0.516m overspend – A £0.3m overspend on community disability equipment (a pooled budget with the NHS) and an overspend on of £0.2m on Telecare due to increases in price and demand.
- Reserves, £7.625m underspend – One-off use of reserves to reduce the portfolio overspend.

Forecast Under (-)/Over Spend by Service Line



The budget savings target for 2023-24 is £12.139m, with a further £3.009m target brought forward from previous years. £0.900m of the in-year savings target of £12.139 will be achieved. The shortfall is partly offset by £2.679m of one-off additional income achieved from a recovery of Direct Payment balances and a review of customers making a nil contribution.

Additional funding has been provided in the 2023-24 budget for the main growth items:

- Demographic Growth - £5.711m ongoing - Reflects expected increases in customer numbers and impact on package spend and social work team time. Also included is base budget to replace the LD and Autism Grant.
- Hospital Discharge pressure - £5.000m ongoing - Additional out of hospital spend, new hospital discharge protocol.
- Former Independent Living Fund (ILF) Grant - £2.534m ongoing - Former Govt Grant now included in the base budget.
- Invest to Save - £1.175m ongoing - Budget pressure to fund resources to support transformation projects and efficiencies.

- Mosaic hosting costs - £0.125m ongoing - Cost of external hosting of Mosaic system.
- Social Care Reform - £0.300m one-off - Funding to support preparation for external inspection.
- Derbyshire Discretionary Fund - £2.006m one-off – Financial assistance scheme for residents of Derbyshire who meet eligibility criteria. The extension of the Household Support Fund into 2023-24 means this pressure is no longer required, however it has been agreed to hold it in Unallocated Budgets to reduce the wider portfolio overspend.
- Inflation on PVI Contract Fees - £22.830m ongoing - Additional budget reflecting the April 2023 National Living Wage uplift and CPI uplifts on non-pay.
- Inflation on transport and catering supplies - £0.358m one-off - Inflation pressure on catering contracts in directly provided care settings.

Other significant additional items of funding that the portfolio is expected to receive or has received since the budget was set are:

- Contain Outbreak Management Fund (COMF) - £1.500m one-off – grant used to support hospital discharges.

Children's Services and Safeguarding and Education - Portfolio Summary

Deliverable Progress



Key Measures Against Target



Key ☆ Strong ✓ Good ◯ Review 📌 Action ■ Data not available/Target not set

Progress is "good" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

✓ **Provide consistent, high quality early help and safeguarding services for children and families across Derbyshire (rated Good)**

A range of evidence from our quality assurance and performance framework continues to show consistency of approach and strong practice across the children's social care and early help workforce. This is in the context of increasing demand and activity throughout the social care system. The publication of the final report from our recent full Ofsted inspection of children's services confirms a grading of good for all four of the graded judgements (impact of leaders, children who need help and protection, children in care and care leavers) and a grading of good for overall effectiveness.

✔ **Work with schools so that the percentage of children and young people attending good or better schools improves at a faster rate than nationally (rated Good)**

Derbyshire has seen a faster rate of improvement than that seen nationally for both primary and secondary pupils. The increase has been significant for secondary pupils with the highest proportion of Derbyshire pupils attending good or better secondary schools since recording of this indicator began. Proportions remain lower than comparators and the deliverable remains a priority for the 2023-24 academic year.

✔ **Work with schools and other education providers to implement new strategies and support, to enable children and young people to achieve their educational potential and begin to catch up on learning they have missed due to COVID-19 restrictions (rated Good)**

2022-23 data for the percentage of pupils reaching the required standard in Phonics suggest an improvement in our national rank position and a narrowing of the gap between disadvantaged and non-disadvantaged pupils. Provisional data for the proportion of pupils achieving a standard pass (grades 4-9) in English and Maths at GCSE (Key Stage 4) meets the target of maintaining an outcome significantly better than national figures.

Key areas for consideration are:



🟡 **Review how the council delivers home to school transport for children with special educational needs ensuring the most effective use of resources (requires Review)**

Issue: This is a complex area with some significant risks particularly in terms of the required data and intelligence currently available to assess our statutory responsibilities and to support decisions to be able to deliver these in an efficient manner. **Response:** Work is now in place to start to implement and deliver the 18 month improvement plan. An independent lead for the implementation of the plan is in place and the improvement plan has been linked to both the Special Educational Need and Disabilities Executive Board and the Education Partnership. A decision-making team is now established and work is starting to move forward.

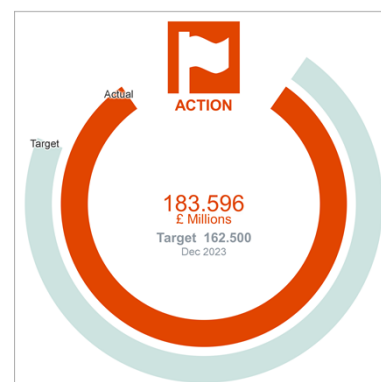
Percentage of 16 to 17 year olds in education, employment or training (3 month avg) (requires Action)

Issue: The percentage of 16 to 17 year olds in education, employment or training for the 3 months of September, October and November 2023 is 72.2%. Performance is below the national figure (78.5%) and the outcome for East Midlands (83.6%) with current performance placing Derbyshire in the lower-middle quartile. The target this year is to maintain performance within the top quartile nationally. **Action:** This indicator has a strong seasonal pattern in outcomes with young people's activity status needing to be established at the start of every academic year (September). This is a significant task for larger local authorities like Derbyshire as the definitive position for every 16-17 year old in the county needs to be established. Hence performance at this time of the year is usually below comparators. Performance is in-line with the same time last year when top quartile performance was achieved by the time the annual snapshot was taken (3 month average between December and February). The latest available figure for the 3 months of November, December and January is 93.4%. This is above the national figure of 91.0% and the outcome for East Midlands (92.5%) and is currently within the top quartile nationally.

There is a forecast overspend of £21.096m on the portfolio after the use of £1.544m of departmental reserves. The main variances are:

-  Placements for Children in Care/unable to remain at home, £16.671m overspend - Expenditure on placements for children in care or alternatives to care is continuing to rise due to an increase in the number and cost of placements. The number of children requiring support is growing because the rate at which children enter care is greater than the rate at which children exit care and because alternatives to care often require long-term financial support leading to a growing number of arrangements to fund. Average cost increases are due to inflation and the need to make more higher cost placements with independent providers, exacerbated by a shortage of foster care places.
-  Early Help and Safeguarding Services, £3.276m overspend - Demand for early help and safeguarding services are high. A 32% increase in contacts to the service this year has led to a 4% increase in child protection plans, a 6% increase in children in care and 2% increase in children in need. Overall the service works with around 5,000 children. In order to respond to the high level of demand, an appropriate level of skilled workforce is

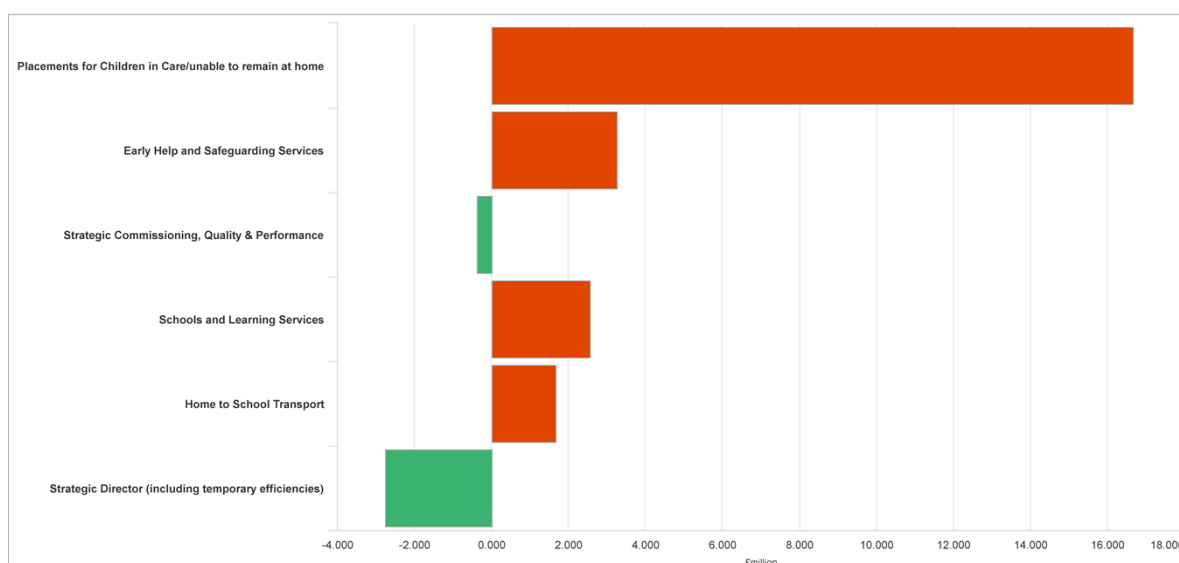
Forecast Outturn against Target Budget



required, which necessitates the use of agency workers to cover vacancies.

- ✔ Strategic Commissioning, Quality & Performance, £0.369m underspend - Vacancy control in the department's support services teams.
- ✘ Schools and Learning Services, £2.580m overspend - £1.714m of the overspend is on the Special Educational Needs and Disabilities (SEND) Assessment and Educational Psychology services. These services have seen an unprecedented rise in demand, assessment requests have risen 33% and the number of Education Health Care Plans (EHCPs) have risen 27% over the last 12 months. £0.951m overspend relates to the catering traded service. The service overspend is a cumulation of two pay awards and increasing costs for food and transport. The service has increased charges, and made efficiencies to the operating model to reduce the cost impact and put it on a sustainable footing.
- ✘ Home to School Transport, £1.683m overspend - Projected spend exceeds current allocated budget due to an increase in the number of children eligible for Council funded SEND transport and an increase in average costs. The increase in cost is due to both economic factors affecting contractors and an increased need for more specialised vehicles to transport individual children. Childrens Services and Public Transport are working together to develop a new operating model, commissioning and decision making process to help manage demand within the budget framework.
- ✔ Strategic Director (including temporary efficiencies), £2.745m underspend - Relates to one-off factors such as non-recurrent grant income and drawdowns from reserves used to reduce the portfolio overspend.

Forecast Under (-)/Over Spend by Service Line



The budget savings target for 2023-24 is £0.500m. The in-year savings target of £0.500 will be achieved.

Additional funding has been provided in the 2023-24 budget for the main growth items:

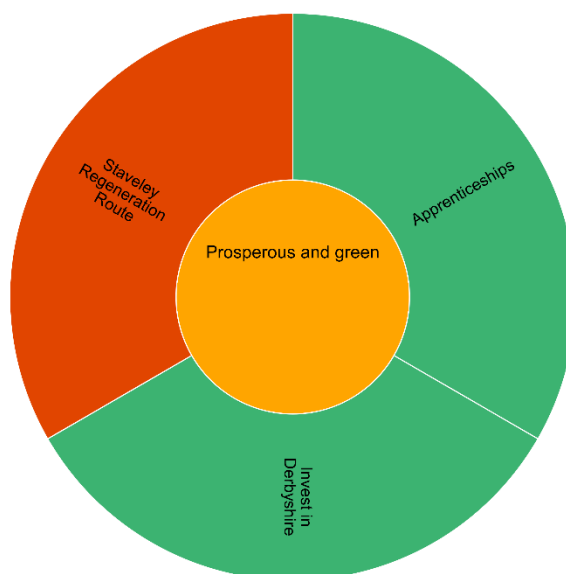
- Home to School Transport - demand - £1.084m one-off - The allocation recognises the greater numbers of children and young people with SEN and increased cost of journeys.
- Home to School Transport - inflation - £1.811m ongoing - Allocated from the inflation contingency for the increase in transport costs.
- Elective Home Education - £0.360m one-off - Over the last year nationally and locally there has been a large increase in the number of Electively Home Educated (EHE) children. Funding to extend the EHE team to meet statutory functions to manage applications, determine whether there are any safeguarding risks and assess whether their education is suitable.
- Social Workers - £0.400m one-off - To fully fund the frontline social work structure and the market supplement, without the need to hold a level of vacancies which would be counter-productive in meeting the statutory demands to help, protect and care for children in Derbyshire. The market supplement payment for social workers in frontline children's social work teams was introduced in July 2019 to support the Council's recruitment strategy.
- Children's Social Care - inflation - £5.992m ongoing - Allocation from the inflation contingency for the increase in placement and other service costs.

Other significant additional items of funding that the portfolio is expected to receive or has received since the budget was set are:

- Holiday Activities and Food Programme and Household Support grants - £13.700m one-off – grant income used to fund the cost of delivering the programmes.
- Dedicated Schools Grant (DSG) contribution to costs - £0.711m one-off – support for Early Help services.

Clean Growth and Regeneration - Portfolio Summary

Deliverable Progress



Key ☆ Strong ✓ Good ◻ Review 📄 Action □ Data not available/Target not set

Progress is "good" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

✓ **Refresh and implement our approach to increasing levels of inward investment into the county (rated Good)**

The council's Inward Investment service continues to promote Derbyshire's investment opportunities. This interest came from UK businesses and foreign investors in the manufacturing, retail, green technologies, and office accommodation industries. Demand for freehold land and sites with mid-size industrial units continues to be popular and ongoing support has been provided to businesses expanding their operations in the county. Great potential to boost inward investment and create a significant number of new jobs across the county within the next 3 years has been demonstrated by both new and existing enquiries.

✓ **Continue to work with Derbyshire businesses to support the creation of apprenticeship opportunities in key economic sectors, connecting people to local job opportunities (rated Good)**

During Quarter 3 nine apprenticeships within seven Small and Medium Enterprises have been formally supported by the council's Apprenticeship Levy, and at the end of Quarter 3 a total of £289,637 of the council's Apprenticeship Levy has been transferred to businesses.

A key area for consideration is:

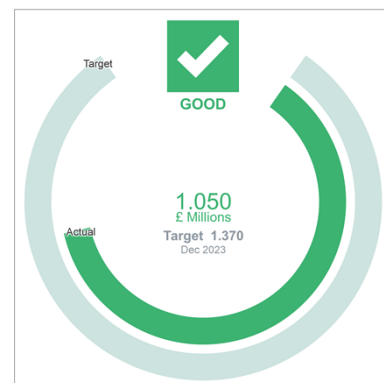
Submit the planning application and continue to progress proposals for the Chesterfield to Staveley Regeneration Route, which will create new homes and jobs for the area (requires Action)

Issue: Action on the project rests with Government, to confirm or reject the business case. **Action:** Repeated action has been taken at officer and political levels to push for resolution.

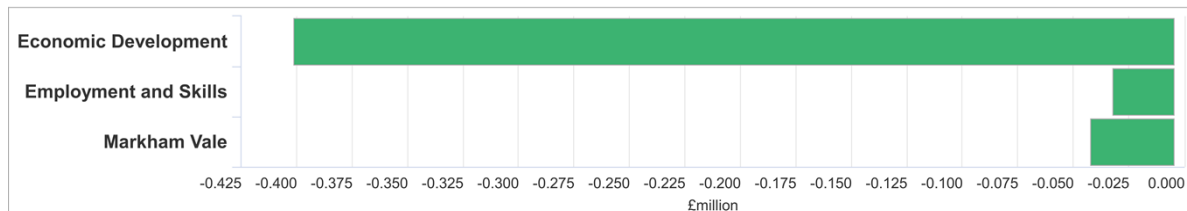
There is a forecast underspend of £0.320m on the portfolio. The main variances are:

Economic Development, £0.401m underspend - Due to staff vacancies, held to meet a restructure planned for 2023-24.

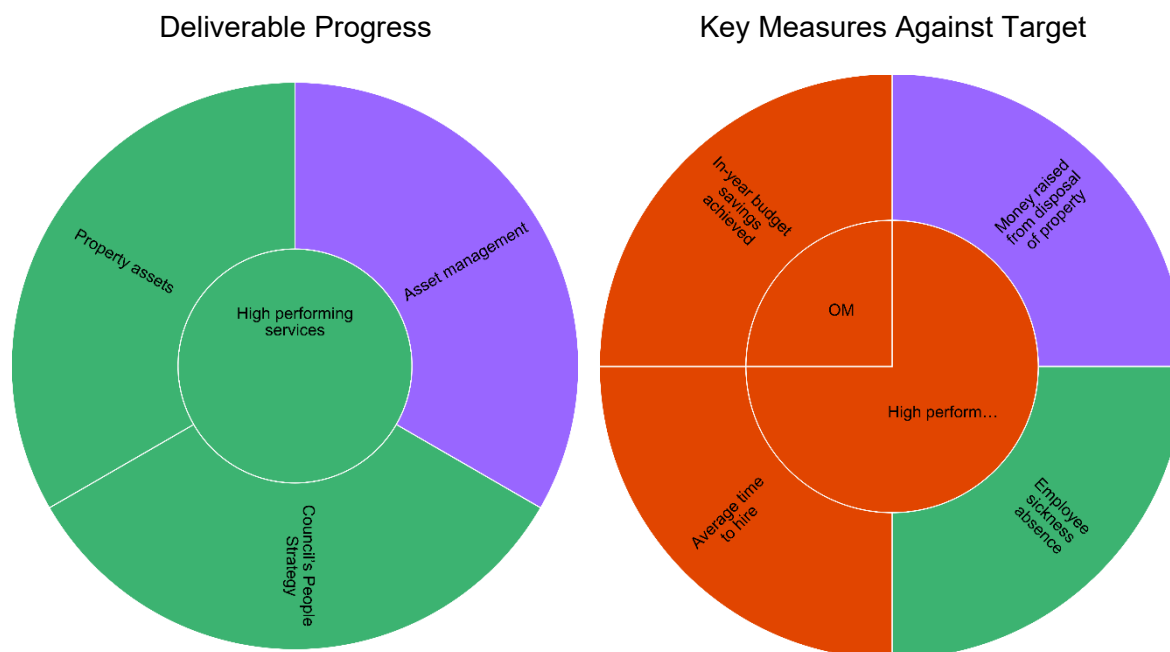
Forecast Outturn against Target Budget



Forecast Under (-)/Over Spend by Service Line



Corporate Services and Budget - Portfolio Summary



Key ☆ Strong ✓ Good ● Review 📌 Action ■ Data not available/Target not set

Progress is "good" or "strong" for all of the Council Plan deliverables led by the portfolio.

A key area of success is:

- ☆ **Implement the Asset Management Strategy and Property 2025 programme, reviewing and rationalising our land and building assets and ensuring an effective plan is in place for the management of those we retain (rated Strong)**

All strategies have been approved by Cabinet with the business case for County Hall being considered during January. The Asset Management strategy is intended to maximise the effectiveness of the council's property portfolio in providing services whilst delivering year on year savings in running costs. So far this year disposal of property has raised £4.658m in capital receipts, above the end of year target of £4m. The savings in terms of running costs will be included in the year end report.

Key areas for consideration are:

- 📌 **Average days between a job vacancy shortlisting and contract offer (council, not including schools) (requires Action)**






Issue: Quarter 3 has seen a slight increase in the year to date figure to 65.7 from the Quarter 2 figure of 64.1 days. The target is 50 days. **Action:** With the exception of Children's Services, departmental averages have reduced during

Quarter 3. There continue to be delays in the Disclosure and Barring Service (DBS) check processing which is impacting on this measure.

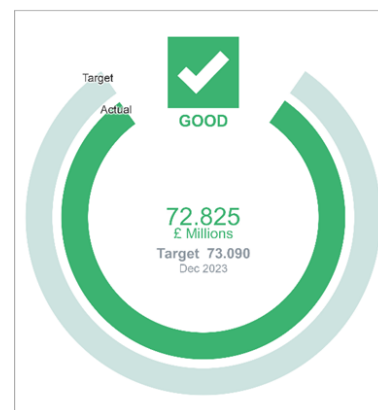
Projected achievement of in-year budget savings (requires Action)


Issue: Of the in-year savings target of £16.190m, £8.937m is forecast to be achieved. **Action:** The Council continues to review planned savings initiatives and explore, and implement, opportunities for further or alternative in-year efficiency measures to offset the ongoing budgetary pressures.

There is a forecast underspend of £0.265m on the portfolio after the use of £2.035m of departmental reserves. The main variances are:

-  Corporate, £0.385m overspend - A brought forward savings target for Channel Shift held centrally.
-  Finance & ICT, £0.670m underspend - Due to underpends of £0.441m in Corporate Finance and £0.656m in ICT because of vacancies. This underspend allows for the current costs of consultants and agency staff working in the ICT section. Partially offset by an overspend of £0.433m relating to the SAP system arising from an unachievable savings target of £0.670m that was originally proposed the new SAP Hana system would achieve through process savings throughout the Council.
-  Legal services, £0.560m underspend - Mainly due to vacancies in all areas. Also, due to increased recharge income arising from a sustained increase in usage volumes, especially use of postal services. The new Multi-Functional Device contract went live in July and charges for printing usage will be monitored closely in order to recover all relevant costs. The underspend is reduced by the increased costs of digital autopsies and a reduction in income from marriages due to the current financial climate.
-  Human Resources, £0.609m underspend – Underspends on the training budget of £0.293m, increased income generated by HR Operations for schools of £0.200m, vacancies of £0.116m and the cessation of the Work Experience service saving £0.113m.
-  Transformation & Strategy, £1.569m underspend - Underspends in Project and Programme Management of £0.556m due to vacancies and Strategy and Policy of £0.755 primarily due to vacancies which are proving difficult to recruit to. There is also an underspend of £0.233m relating to the training budget.

Forecast Outturn against Target Budget



 Corporate Property, £2.775m overspend – The Property budget has a structural overspend, made up of historic differences in the cost of running assets and the allocated budget transferred to the Corporate centre, plus savings measures which have not been practically implementable. The Property budget is under review to ensure that structural overspends, which cannot be practically addressed by the Property Division through additional savings measures, at least in the short term, are understood.

Reductions in property operations external contract income, noting that assumptions around external income need to be revisited for the reasons described above.

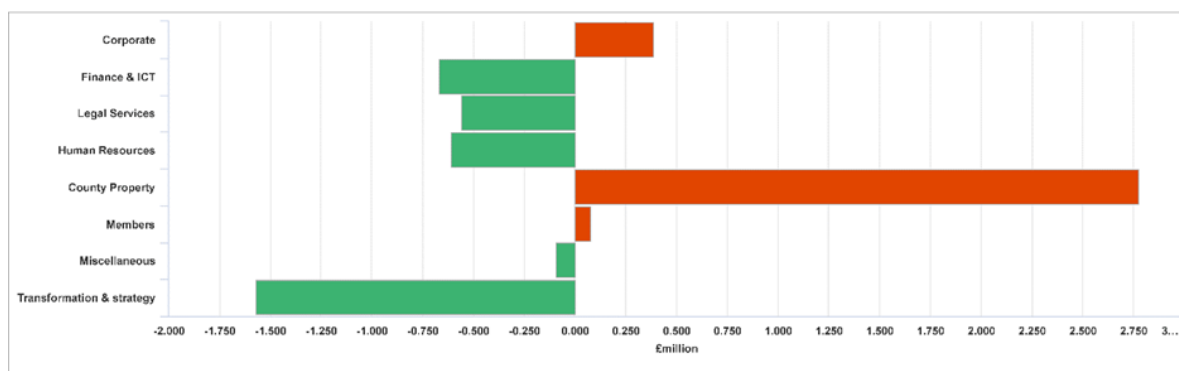
The Cabinet agreed a change from a Property Maintenance and Construction Service as a Traded Service, to an internal Property Maintenance Service, sized to the delivery of essential maintenance to the council's assets, against a backdrop of asset rationalisation where the number of assets the council holds is reducing. Whilst the changes to the council's service are underway, and its asset base is reducing, the assumptions in the council's budget still relate to the Traded Service model, and the future Property Maintenance Service's budget has not yet been agreed. Whilst the current financial model remains, it will report under-recovery of property operations overheads, because less work is being conducted without a corresponding reduction in fixed costs.

An overspend on Facilities Management relating to Cleaning and Caretaking due to relinquishing budget in 2022-23 for savings anticipated from site rationalisation and a 9% price increase in the contract with Vertas due to rises in the National Living Wage and Consumer Price Index (CPI).

Overspends are somewhat offset by an additional budget allocation in recognition of £0.200m of dividend income to be received from joint venture companies Concertus and Vertas.

Impacts from high inflation affecting utilities, security, rates and maintenance costs, including the impact on the cost of carrying properties awaiting disposal or repurpose, have been funded by the allocation of £4.509m from the contingency budget.

Forecast Under (-)/Over Spend by Service Line



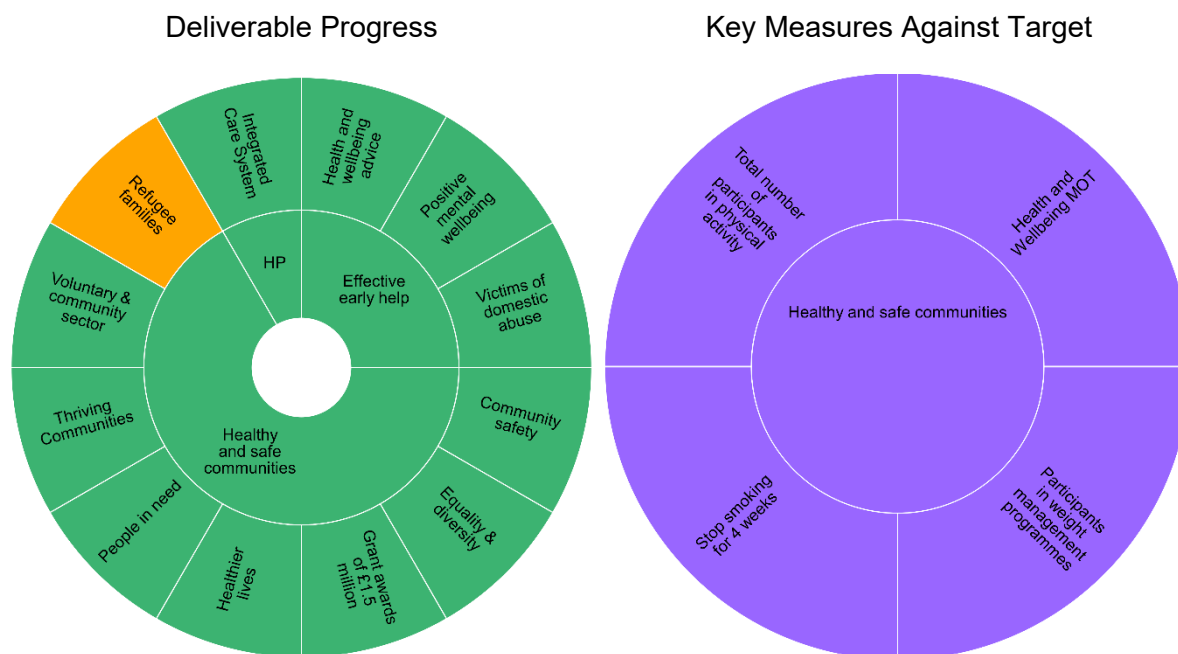
The budget savings target for 2023-24 is £0.625m, with a further £2.070m target brought forward from previous years. The in-year savings target is not expected to be achieved.

Additional funding has been provided in the 2023-24 budget for the main growth items:

- Leadership Development Programme - £0.300m one-off - To enable the second year of the Council's leadership development programme.
- Corporate Property - Disposal Professional Fees - £0.446m one-off - To instruct property agents and solicitors required to deliver capital receipts for the forecasted five-year Disposals Programme.
- Corporate Property - Demolition Budget - £0.550m one-off - To replenish the Corporate Revenue Demolition Budget which is already fully committed for this financial year.
- Corporate Property - Asset Valuations & Fees - £0.324m one-off - Additional fees required to meet the statutory requirement to value the Council's Property Asset base including the newly introduced IFRS 16 valuations.
- Corporate Property - Commercial Appraisal Officers - £0.229m one-off - Development Appraisal Team required to accelerate asset appraisals.
- Corporate Property - Carbon reduction for Corporate Buildings - £0.400m one-off - To support the carbon reduction programme for corporate buildings.
- Corporate Property - CCTV Installations / Rationalisation - £0.179m one-off - To implement outcomes of CCTV Governance investigations in order to bring the Council into a position of compliance with the Information Governors Code of Practice.

- Corporate property - Decommissioning, Dilapidations & Staff Relocations - £0.501m one-off - To decommission buildings that are closing and being disposed of.
- Corporate Property - Project Co-ordination Pool - £0.143m one-off - To add additional resource for internal projects to achieve the asset rationalisation programme.
- Corporate Property Running Costs - Inflation/Contingency - £4.509m one-off - To cover increased property running costs including utility costs.
- Legal Services - Staffing budget deficit - £0.952m ongoing - To ensure the Legal Services salaries budget meets the costs of the current structure.
- Legal Services-Child Protection - £0.850m ongoing - Jointly endorsed pressure by Legal Services and Children's Services to secure funding to cover the budget deficit for children in care proceedings.

Health and Communities - Portfolio Summary



Key ☆ Strong ✓ Good □ Review □ Action □ Data not available/Target not set

Progress is "good" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- ✓ **Ensure the council’s strategic approach to community safety responds effectively to existing and emerging challenges such as Serious Violence and Violence Against Women and Girls (rated Good)**

Examples of Community Safety activity over the last three months include, the establishment of a mini Serious Violence Unit and completion of the Serious Violence Needs Assessment, the Commissioning and mobilisation of services directly supporting young people vulnerable to becoming involved in serious violence, national recognition for the council's work on preventing counter terrorism and tackling online harm and the publication of the council's Modern Slavery Statement.

- ✔ **Provide support to people and communities in need, including financial help from our Discretionary Fund and other activities that promote financial inclusion and tackle cost of living pressures (rated Good)**

The Household Support Fund has seen strong delivery across the Derbyshire Discretionary Fund (DDF); Children's Services Professionals route; and Grocery vouchers to eligible families. Support to foster carers has picked up this quarter and the vouchers for low income pensioners and disabled people have started. All actions are on track in line with the delivery plan.

- ✔ **Work with partners to enable people to lead healthier lives by supporting people to take part in physical activity, to stop smoking and manage their weight (rated Good)**

Live Life Better Derbyshire (LLBD) continues to perform well and whilst there has been a reduction in the number of health and wellbeing MOTs completed and people participating in our stop smoking and physical activity services compared to Quarter 2, nevertheless LLBD is on track to meet or exceed its yearly targets and overall performance remains good.

- ✔ **Work in partnership with the NHS to implement the Integrated Care Strategy to benefit the health and wellbeing of the people of Derbyshire, tackle health inequalities and demonstrate a move towards more preventative interventions and investment (rated Good)**

The public health team is supporting three development stages on tobacco control and smoking cessation for the Integrated Care System (ICS) Stay Well Key Area of Focus. The three development stages are services, communications and strategy. These development stages will support the ICS strategy to deliver population health impacts and outcomes. The development stages are also feeding into a newly forming Tobacco Control Strategic Board.

- ✔ **Improve outcomes for victims of domestic abuse and their families by focusing on prevention, early intervention, work with perpetrators and commissioning specialist support (rated Good)**

The Domestic and Sexual Abuse Partnership Board is well established, and work is being delivered against all priorities.

- ✔ **Work with partners to promote positive mental wellbeing and improve support for local people, with a particular focus on children and young people and suicide prevention (rated Good)**

During Quarter 3, the Let's Chat Derbyshire Podcast launched, with the first episode airing on 10 October 2023 with over 3,000 listeners. Since then, three more podcast episodes have been released, covering various themes and speaking with people about mental health, suicide prevention, and neurodiversity.

✔ **Deliver health and wellbeing advice and coaching to prevent, reduce and delay the need for adult social care services (rated Good)**

The Health and Wellbeing Team are currently actively supporting a total of 251 people and have received 83 new introductions in Quarter 3, with a total of 222 new introductions and 88 shared agreements since April 2023.

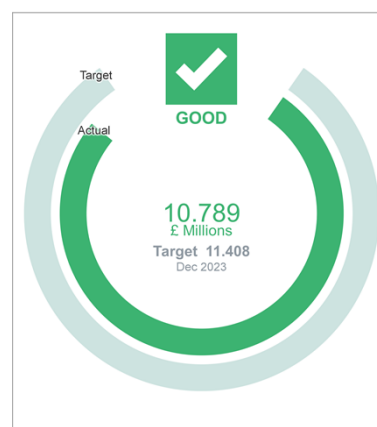
A key area for consideration is:

🟡 **Work with partners to welcome refugee families into Derbyshire and developed a countywide response to the implementation of asylum dispersal (requires Review)**

Issue: The provision of accommodation and support for those seeking asylum is becoming a significant issue, the funding available for central government support is being passported to Districts and Borough Councils. **Response:** The council is providing support to partners and engaging in multi-agency meetings. Moving forward, consideration needs to be given to the role the council can play in relation to the co-ordination of a countywide response to the roll out of asylum dispersal.

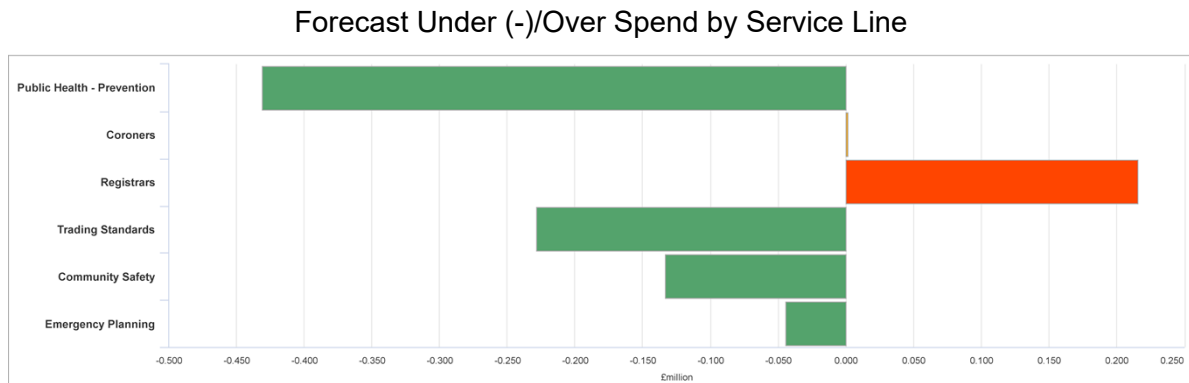
There is a forecast underspend of £0.619m on the portfolio. The main variances are:

Forecast Outturn against Target Budget



🟡 Registrars, £0.215m overspend - Income from weddings has decreased at several offices. This is due to the current financial climate, with a significant number of ceremony cancellations. The price increase of 10% for 2023-24 may also be reducing demand. One ceremony room, that was previously closed due to health and safety, has been decorated and reopened for business so could generate additional income. Staffing levels have been adjusted to meet the manning needed for the new opening hours. The Bakewell registry office is due to have some free publicity which may increase bookings and therefore overall income levels.

- ✔ Trading Standards, £0.228m underspend - Vacancies.
- ✔ Community Safety, £0.133m underspend - Not undertaking one-off projects.
- ✔ Prevention, £0.431m underspend - Additional funding from the Contain Outbreak Management Fund and Public Health ringfenced grant.



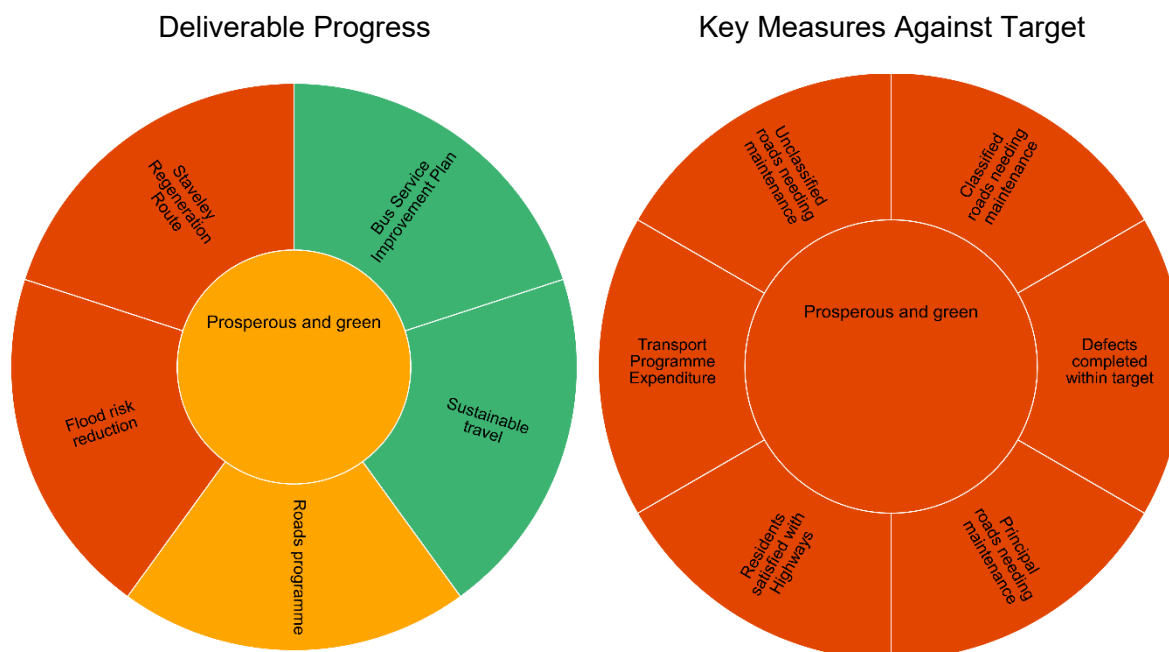
Additional funding has been provided in the 2023-24 budget for the main growth items:

- Trading Standards - £0.046m one-off - New APP/Flare Database due to current system coming to the end of its contract.

Other significant additional items of funding that the portfolio is expected to receive or has received since the budget was set are:

- Public Health - £11.137m one-off - Contain Outbreak Management Fund (COMF) Grant income with end date of 30th Sep 2024.
- Prevention Household Support Fund - used by the Derbyshire Discretionary Fund (DDF) - £2.006m one-off - now extended to 31st March 2024.

Highways Assets and Transport - Portfolio Summary



Key ☆ Strong ✓ Good ◯ Review 📌 Action □ Data not available/Target not set

Progress is "good" for 2 out of the 5 Council Plan deliverables led by the portfolio.

Key areas of success are:

- ✓ **Develop and deliver a strategic approach to sustainable travel and transport across the county, including the promotion of cycling and walking (rated Good)**

Significant progress has been made in developing and delivering sustainable travel and transport projects. The Active Travel bid Circa £7m of external funding has been secured to support sustainable travel projects. The joint work on the Local Transport Programme is progressing across Derby, Derbyshire, Nottingham, and Nottinghamshire (D2N2) areas in preparation for the combined authority; sustainable travel (mobility hubs etc) has been embedded in the proposals.

- ✓ **Deliver a £47 million Bus Service Improvement Plan in partnership with Derbyshire bus operators and establish a 3-year programme to develop and improve bus frequency, connectivity, usage and affordability (rated Good)**

Good progress has been made this quarter to aid bus operations across the highways network to improve passenger journeys. b_line card holders have received benefits from a £1.50 flat fare for travel within Derbyshire.

Improvements to numerous bus services across the county increasing the frequency and extension of routes is now in place.

Key areas for consideration are:

Complete the delivery of a £120 million 3-year Local Transport Programme (LTP) to provide well managed roads and highways and address road safety concerns (requires Review)

Issue: Due to the series of adverse and unpredictable weather conditions experienced recently, the volume of new defects reported continues to rise and the demand outweighs the resources available. The switch to the new asset management system Alloy has impacted on the reporting as the system is being introduced. The Annual Engineers Inspection (AEI) undertaken between April and June is used to support the preparation of the following year's delivery programme. The survey splits the roads into categories of Principal (major A roads), Classified roads (Smaller roads known as B and C), and unclassified roads (lesser used roads). The results show that there are 27.8% of Principal roads, 37.0% of classified roads and 36.5% of Unclassified roads needing maintenance, which is indicative of a network that requires additional capital investment in the highway asset, and is well documented at a national level for a number of years. The annual National Highways and Transport public satisfaction survey reports that 49% of residents are satisfied with local Highways and Transportation services, which is a decrease from last year's figure of 51%. **Response:** The announcement from Network North has provided an indication of additional long-term highways funding between 2023 to 2034. To date £3m has been received this financial year and we are to receive a further £3m in 2024-25 however, the allocations for the remaining indicative funding has yet to be published. In terms of reporting, the design of reporting mechanisms is underway and will be developed alongside the Priority Pothole Response that has been initiated to focus activity and response following the series of adverse weather events experienced over Quarter 3 and continuing into the New Year. The additional pothole grant of £7.2m received from the Department for Transport during this financial year, will be used to help to mitigate the impacts of the increased demand with the intent of providing permanent repairs at identified locations.

Reduce the level of Flood Risk to the residents and businesses of Derbyshire through our planning role, the delivery of flood mitigation schemes and working with communities to support and develop flood resilience measures (requires Action)

Issue: Storm Babet in October 2023 has significantly impacted upon the teams deliverables from previous quarters. Over 1,400 properties have experienced internal flooding, and along with hundreds of additional enquiries generated, the team's business as usual activities have had to be suspended until at least the end of Quarter 4. **Action:** Looking ahead into 2024 and beyond, it is clear that there will be a significant expectation from residents and business affected by the recent flood event, to provide future flood mitigation, and although the team will work hard in trying to deliver this, the reality is, that the current teams capacity had already reached a limit, in terms of what it can actually deliver, and Storm Babet has exacerbated this issue.

Submit the planning application and continue to progress proposals for the Chesterfield to Staveley Regeneration Route, which will create new homes and jobs for the area (requires Action)

Issue: Action on the project rests with Government, to confirm or reject the business case. **Action:** Repeated action has been taken at officer and political levels to push for resolution.

There is a forecast overspend of £4.644m on the portfolio after the use of £2.664m of departmental reserves. The main variances are:

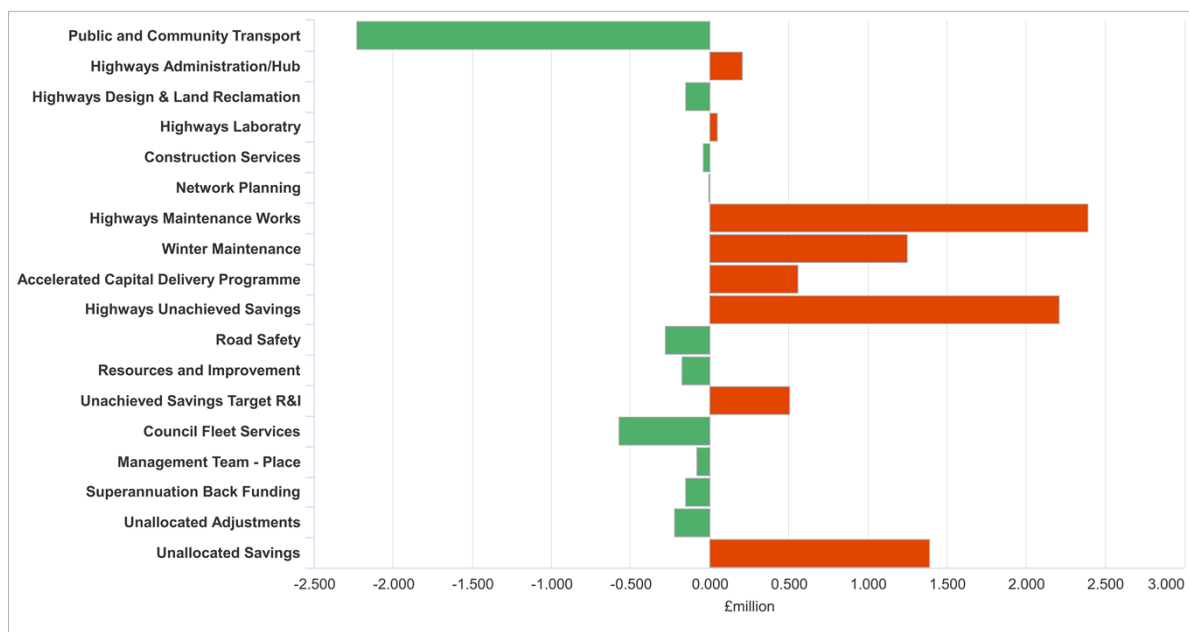
- Public and Community Transport, £2.228m underspend - Due to additional Local Transport Funding (LTF) being received in year and some staffing costs being funded from the Bus Service Improvement Programme (BSIP) Funding.
- Highways Maintenance Works, £2.390m overspend - Increased reactive maintenance works being carried out.
- Winter Maintenance, £1.247m overspend - The budget for is only sufficient to cover a mild winter season, the current prediction is based on a severe winter. The balance of £0.280m available in the Winter Maintenance Reserve has been fully drawn to reduce the overspend.
- Accelerated Capital Delivery, £0.558m overspend - Unfunded staffing costs associated with the delivery of the Manifesto Pledge of a £120m capital delivery programme.

Forecast Outturn against Target Budget



- Highways Savings Yet to be Achieved, £2.212m overspend - Savings targets relating to initiatives previously identified able to be delivered. These targets will be removed as part of the 2024-25 budget setting process.
- Unachieved Resources and Improvement Saving, £0.503m overspend - A historic staff savings target which due to recent restructuring cannot now be fully achieved due to a reduction in the size of the departmental establishment. This target will be removed as part of the 2024-25 budget setting process.
- ✓ Council Fleet Services, £0.574m underspend - Income received in relation to the Police Contract is higher than budgeted.
- Unallocated Savings, £1.387m overspend - Savings allocated to the departmental budget for which there are no identified initiatives to enable them to be achieved. The overspend has been reduced by the use of £0.739m of departmental earmarked reserves.

Forecast Under (-)/Over Spend by Service Line



The budget savings target for 2023-24 is £0.500m, with a further £3.671m target brought forward from previous years. The in-year savings target will not be achieved.

Additional funding has been provided in the 2023-24 budget for the main growth items:

- Highways Reactive Maintenance - £2.500m one-off - As the highways authority the Council has a statutory duty to maintain highways. Reactive maintenance is necessary whereby the Council is required to attend to defects on the highways and in response to emergency situations such as flooding. The Highway Service operates an out-of-hours service and is developing procedures to allow quicker response times. Neglecting this duty can lead to claims against the Council for damages. The current delivery model is being reviewed under the ongoing work in the Derbyshire Highways Programme.
- Highways - £2.000m ongoing - Current budgets within the Highways Service were set on an historic organisational structure with an income target that is not achievable with the current level of staff resource, this will allow the service to set a realistic capital recharge recovery rate.
- Bus Companion Service - £0.150m ongoing - Introducing a Companion Service will allow a discretionary service to provide free travel to a family member or carer when they accompany Gold Card holders.
- Commercial Services - £1.000m ongoing - Most of the local bus services in Derbyshire are run commercially. Providers have withdrawn from certain routes as they are no longer commercially viable. Where there isn't a commercial case to operate specific local bus routes across Derbyshire, then the Council – in its role as Local Transport Authority (LTA) – can consider funding a service to maintain services where there is a social, economic, and environmental need for them to operate key routes in the county.
- Restructure of Intergrated Transport Unit - £0.200m ongoing - A service restructure is required to ensure adequate resources are in place to meet current and future demands and challenges, improve service delivery and efficiency, meet the Council's statutory duties, and administer the Bus Services Improvement Plan (BSIP) grant.
- Inflation on Reactive Maintenance - £1.025m one-off - For price increases of construction materials needed to maintain the highway.
- Inflation on Tendered Network - £1.700m one-off - For increases to contract prices associated with the supporting the local bus network.

Infrastructure and Environment - Portfolio Summary

Deliverable Progress



Key ☆ Strong ✓ Good ◯ Review 📄 Action ■ Data not available/Target not set

Progress is "good" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- ✓ **Develop the Local Nature Recovery Strategy for Derbyshire in accordance with the Environment Act 2021, for the coordinated benefit of Derbyshire's natural environment (rated Good)**

Good progress is being made towards the Local Nature Reserve Strategy (LNRS) for Derbyshire. Constructive discussions with Derbyshire's District and Borough Councils have taken place this quarter and there has been successful recruitment to the post for LRNS Officer. A Cabinet report regarding partnership governance arrangements has been submitted, and the LNRS conference will be attended on the 29 January 2024.

- ✓ **Finalise and implement a new Digital Strategy for Derbyshire, including support for the roll out of gigabit technology (rated Good)**

The Digital Strategy and Action Plan have been finalised with report to Cabinet in February 2024 pending budget confirmation. The Project Gigabit regional supplier contract has been awarded to Connect Fibre and will benefit over 17,000 homes. The local supplier to be awarded in late January 2024. Currently 97.7% of properties in Derbyshire have access to superfast broadband.

- ✓ **Work with partners to finalise the regional response to the Integrated Rail Plan, including a refreshed HS2 Growth Strategy, an action plan to prepare for Midland Mainline electrification and implementation of Restoring Your Railways programme (rated Good)**

in October 2023 the Government's Network North announced a funding transfer from HS2 to other projects across the North and Midlands, including confirmation of future funding for the Barrow Hill Rail Line and Ivanhoe Rail, subject to approval of the Restoring Your Railways business cases. It also sets out a new project to electrify the Hope Valley Line between Manchester and Sheffield to reduce journey times.

A key area for consideration is:

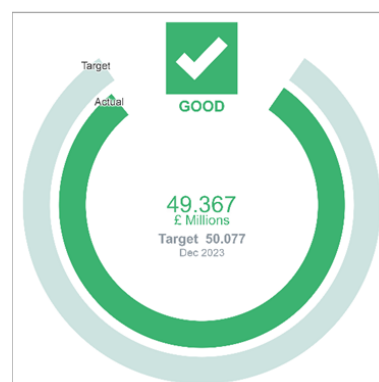
- ✘ **Submit the planning application and continue to progress proposals for the Chesterfield to Staveley Regeneration Route, which will create new homes and jobs for the area (requires Action)**

Issue: Action on the project rests with Government, to confirm or reject the business case. **Action:** Repeated action has been taken at officer and political levels to push for resolution.

There is a forecast underspend of £0.710m on the portfolio after the use of £0.739m of departmental reserves. The main variances are:

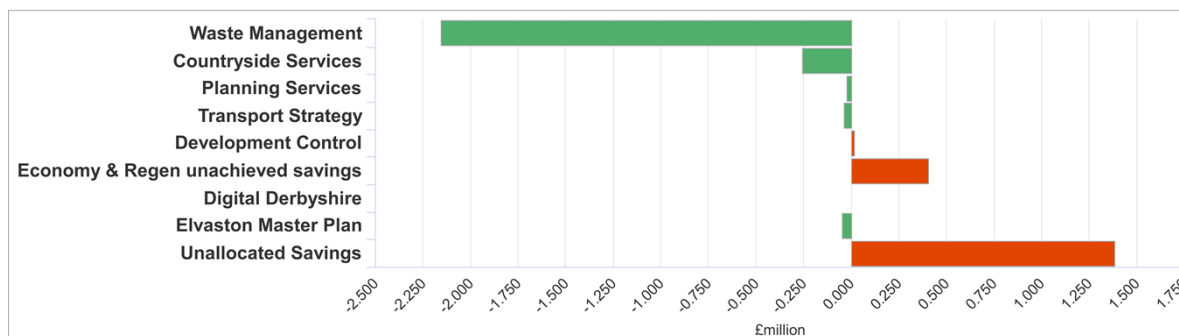
- ✓ **Waste Management, £2.154m underspend** – Annual tonnages have decreased by an estimated 6%. The contracts that are in place for waste disposal and treatment contain binding indexation mechanisms uplift costs by general inflation. However, £3.583m of Corporate Contingency budget has been used to mitigate the inflationary pressures.

Forecast Outturn against Target Budget



- ✘ **Planning and Development Control Savings Target, £0.405m overspend** - A staffing restructure has been carried out which has achieved an in-year saving of £0.058m. A full year saving of £0.232m will be reflected in 2024-25.
- ✘ **Unallocated Savings, £1.387m overspend** - Savings allocated to the portfolio but not yet allocated to specific areas.

Forecast Under (-)/Over Spend by Service Line

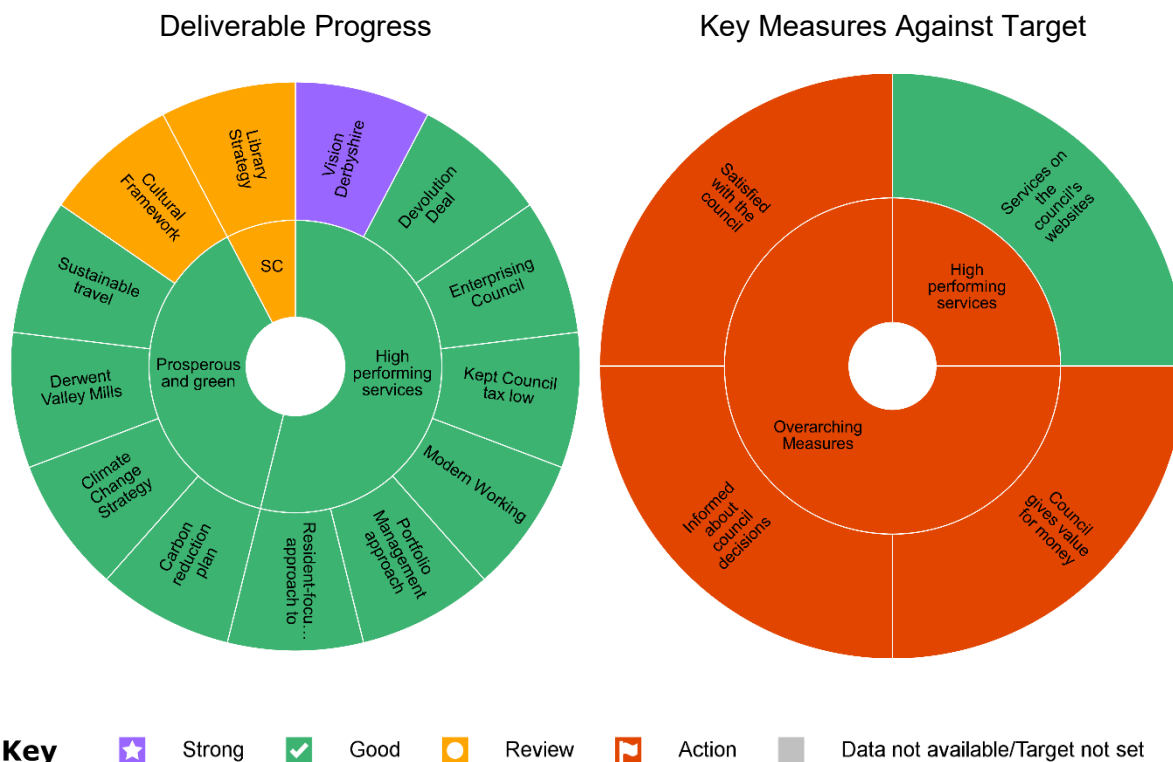


The budget savings target for 2023-24 is £0.700m, with a further £2.767m target brought forward from previous years. £0.100m of the in-year savings target will be achieved.

Additional funding has been provided in the 2023-24 budget for the main growth items:

- Elvaston Master Plan - £0.050m ongoing, £0.340m one-off - The Elvaston Masterplan requires targeted activity to develop and test the business case for the Masterplan. This will help ensure sustainable and commercially viable solutions are secured for the estate.
- Grounds Maintenance - £0.365m ongoing - The Countryside Service has developed a business plan which establishes the service's strategy and operational priorities over the medium term. Funding is required to enable a bespoke grounds maintenance schedule of work and appropriate allocation of resources across the Council's 123 countryside sites.
- Ash Die Back - £0.450m one-off - The Council is undertaking a step change in tree-planting across the county driven by its Climate Change Strategy, Nature Recovery Motion, and its anticipated role as responsible authority for the Derbyshire Local Nature Recovery Strategy. The target is to facilitate the planting of up to one million trees by 2030, and to ensure delivery of the Council's Ash Die Back Action Plan.
- Inflation on Waste Management - £3.583m one-off – For increases to contract prices associated with the disposal and treatment of waste.

Strategic Leadership, Culture, Tourism and Climate Change - Portfolio Summary



Progress is "good" or "strong" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- ☆ **Further develop the Vision Derbyshire governance arrangements and align the programme to the emerging East Midlands Combined County Authority to deliver agreed priorities and take forward opportunities for broader public sector reform (rated Strong)**

Vision Derbyshire has been successfully developed to create the Strategic Leadership Board. Derby City and all Derbyshire Councils have committed to progressing the board during Quarter 4.

- ✓ **Embed the new Portfolio Management approach and framework across the council to ensure that programmes and projects are coordinated and deliver improved outcomes and value for money (rated Good)**

A Portfolio Management maturity assessment has been undertaken to provide a first measure of the level of progress. The assessment showed that the council had moved from level 1 to level 2 in maturity, within just 12 months.

✔ **Continue to deliver the Climate Change Strategy and Action Plan which sets out priorities to reduce the county's greenhouse gas emissions (rated Good)**

The Council has a target to be a net zero organisation by 2032, or sooner. In 2022-23 there has been a 14% reduction since the previous year. Council emissions reductions are included in the annual review of progress, presented to Cabinet in January 2024. Annual reduction targets for emissions from grey fleet to 2032 have been developed and agreed and are being embedded within Departmental Service Plans.

✔ **Develop and deliver a strategic approach to sustainable travel and transport across the county, including the promotion of cycling and walking (rated Good)**

Significant progress has been made in developing and delivering sustainable travel and transport projects. The Active Travel bid Circa £7m of external funding has been secured to support sustainable travel projects. The joint work on the Local Transport Programme is progressing across Derby, Derbyshire, Nottingham, and Nottinghamshire (D2N2) areas in preparation for the combined authority; sustainable travel (mobility hubs etc) has been embedded in the proposals.

✔ **Work with partners towards the production of a Development Framework that provides a positive unified vision for the Derwent Valley Mills World Heritage Site (DVMWHS), that highlights development possibilities, and identifies the infrastructure that is needed to release the site's potential (rated Good)**

There has been a high level of support from local councils for the Derwent Valley Mills World Heritage Site Development Framework. This work is to be included into a collaboration with the emerging Combined Authority and a proposal is being drafted to present to the new Mayor for the Combined Authority once elections have taken place.

Key areas for consideration are:

🟡 **Refresh and implement our Library Strategy to ensure a modern, efficient and improved service (requires Review)**

Issue: Further to the relaunch of the former Library Strategy in September 2021 there has been no further response from community groups regarding Community Managed Libraries. Discussions will be held with Cabinet during Quarter 4 therefore this action is rated review until the outcome of the discussions is known. **Response:** The Library Strategy refresh will provide opportunities to ensure that the library estate remains fit for purpose.

🔴 Deliver the Derbyshire Cultural Framework to support the creative and cultural sectors to become more resilient, grow and capitalise on new opportunities (requires Review)

Issue: Delivery of Derbyshire Makes has been delayed whilst awaiting funding decisions. Wider delivery of the Cultural Framework will be restricted to accommodate the council's budget savings proposals. **Response:** The project delivery has been reprofiled for an April 2024 start, to accommodate delays incurred.

🔴 Residents' survey measures (requires Action)

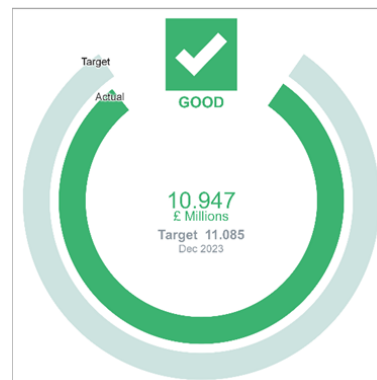
Issue: The results from the Your Council Your Voice survey of residents carried out during Quarter 3, regarding residents' satisfaction with the council, how informed they feel about council decisions, and the council's value for money, remain similar to those from previous surveys and are below target.

Action: The council will continue to focus on customer experience, understanding the residents' voice and work to improve residents' satisfaction. A full report on the survey results with analysis and recommendations will be presented to senior leaders during Quarter 4.

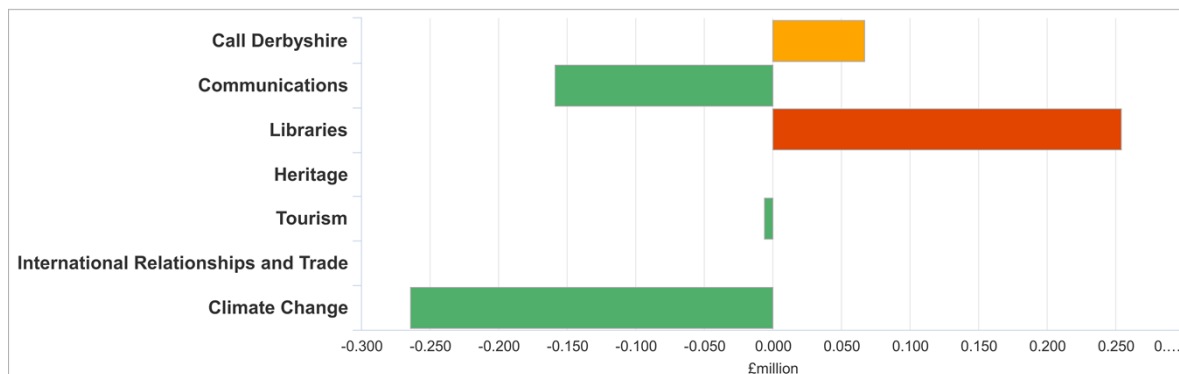
There is a forecast underspend of £0.138m on the portfolio. The main variances are:

- ✅ Communications, £0.159m underspend - Cessation of the Derbyshire Now magazine and vacancies, partly due to staff turnover.
- 🔴 Call Derbyshire, £0.067m overspend - The Granicus licence fee is unfunded. Vacancies partly offset this overspend.
- 🔴 Libraries, £0.254m overspend - Due to unachieved savings targets.
- ✅ Climate Change, £0.264m underspend - In-year cost savings initiatives and a vacancy.

Forecast Outturn against Target Budget



Forecast Under (-)/Over Spend by Service Line



There is a budget savings target of £0.521m brought forward from previous years.

Additional funding has been provided in the 2023-24 budget for the main growth items:

- Business Change - £0.180m one-off - Aligned to the creation of the new Transformation and Strategy Division, this funding will enable the training for employees in Prince2, MSP and MoP to equip them with the right skills to ensure the Council delivers projects efficiently and successfully.
- Vision Derbyshire - £0.087m ongoing - The funding is to support the ongoing implementation of the Vision Derbyshire approach in particular the funding of the programme team.